

## Graphical Abstract

**Tyrosine-modified linear PEIs for highly efficacious and biocompatible siRNA delivery *in vitro* and *in vivo***
*Nanomedicine: Nanotechnology, Biology, and Medicine xxx (2021) xxx–xxx*

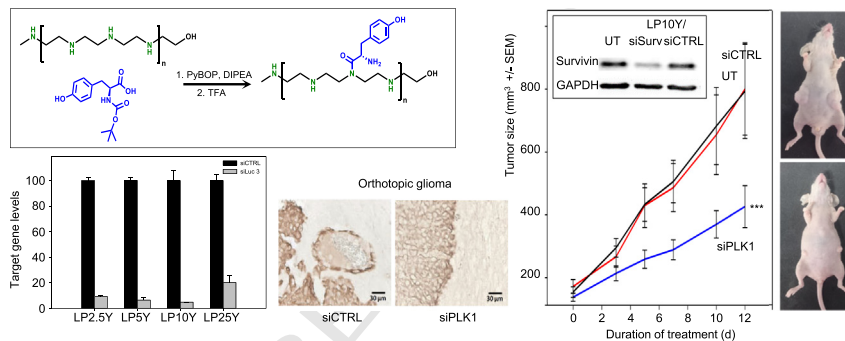
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 For therapeutic siRNA delivery, we generated and analyzed a set of small linear polyethylenimines bearing tyrosine modifications (LP<sub>x</sub>Y). Substantially enhanced siRNA delivery and knockdown efficacy, favorable physicochemical nanoparticle properties, very high biocompatibility and therapeutic efficacy were observed *in vitro* and in different tumor models *in vivo*.


## Highlights

*Nanomedicine: Nanotechnology, Biology, and Medicine xxx (2021) xxx–xxx***Tyrosine-modified linear PEIs for highly efficacious and biocompatible siRNA delivery *in vitro* and *in vivo***

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- Polyethylenimines (PEIs) are attractive systems for siRNA delivery *in vitro/in vivo*.
- Here, a set of small linear PEIs bearing tyrosine modifications (LPxY) is explored.
- LPxY show markedly enhanced transfection efficacy also in hard-to-transfect cells.
- LPxY/siRNA complexes offer very favorable physical properties and biocompatibility.
- Nanoparticle efficacies are seen *in vitro*, *ex vivo* and in three tumor models *in vivo*.



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Nanomedicine: Nanotechnology, Biology, and Medicine  
xx (xxxx) xxx



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# Tyrosine-modified linear PEIs for highly efficacious and biocompatible siRNA delivery *in vitro* and *in vivo*

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Revised 28 March 2021

## Abstract

Therapeutic gene silencing by RNA interference relies on the safe and efficient *in vivo* delivery of small interfering RNAs (siRNAs). Polyethylenimines are among the most studied cationic polymers for gene delivery. For several reasons including superior tolerability, small linear PEIs would be preferable over branched PEIs, but they show poor siRNA complexation. Their chemical modification for siRNA formulation has not been extensively explored so far. We generated a set of small linear PEIs bearing tyrosine modifications (LPxY), leading to substantially enhanced siRNA delivery and knockdown efficacy *in vitro* in various cell lines, including hard-to-transfect cells. The tyrosine-modified linear 10 kDa PEI (LP10Y) is particularly powerful, associated with favorable physicochemical properties and very high biocompatibility. Systemically administered LP10Y/siRNA complexes reveal antitumor effects in mouse xenograft and patient-derived xenograft (PDX) models, and their direct application into the brain achieves therapeutic inhibition of orthotopic glioma xenografts. LP10Y is particularly interesting for therapeutic siRNA delivery.

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**Key words:** Tyrosine-modified linear polyethylenimines; siRNA transfection; Therapeutic siRNA delivery; RNAi *in vivo*; Tumor xenografts and PDX models

RNA interference (RNAi) is a powerful strategy for the treatment of various diseases, based on the target-specific silencing of pathologically over-expressed genes.<sup>1</sup> It is mediated by small interfering RNAs (siRNAs), allowing for the specific knockdown of any target gene of interest.<sup>2,3</sup> One of the great challenges is the safe and efficient delivery of siRNAs, especially for *in vivo* application based on systemic injection. Rapid enzymatic and non-enzymatic degradation, renal elimination, poor cellular uptake and insufficient intracellular release from the endo-/lysosomal compartment still pose major hurdles for siRNA therapies *in vivo* and for their translation into the clinic.<sup>4,5</sup>

Since viral delivery systems have several disadvantages, non-viral approaches have been extensively explored. These include chemical siRNA modification and the conjugation of siRNAs *e.g.* to GalNAc for targeted delivery to hepatocytes, as well as nanoparticle systems such as cationic liposomes, polymers or inorganic compounds (see *e.g.*<sup>6,7</sup>). Much attention has been focused on cationic polymers. Polyethylenimine (PEI) is one of the most studied polymers for gene delivery.<sup>8,9</sup> With a nitrogen atom at every third position, PEI has a high charge density allowing for nucleic acid complexation and condensation by electrostatic interactions, protection of the payload, as well as facilitated interaction with the cellular membrane. Linear or

Conflicts of interest: There are no conflicts to declare

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<https://doi.org/10.1016/j.nano.2021.102403>

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branched PEIs are commercially available over a broad range of molecular weights (0.8-200 kDa),<sup>10</sup> with toxicity, complexation efficacy and biological activity depending on the molecular weight. While high molecular weight PEIs show satisfying complexation efficacy, they are associated with higher toxicity. *Vice versa*, lower molecular weight PEIs provide better biocompatibility but unfavorable properties for gene transfer. This is particularly true for the delivery of small nucleic acids, such as siRNA.<sup>11</sup> While certain branched low-molecular weight PEIs have been found efficient for siRNA delivery, linear PEIs (LPEI) show higher biocompatibility<sup>12</sup> and may thus also show advantages upon chemical modification, but they are largely inactive due to poor siRNA complexation efficacy.<sup>13</sup>

The further investigation of linear PEIs (LPEIs) is also particularly interesting for other reasons. Synthesis conditions have been optimized towards allowing the manufacturing of linear PEIs with a narrow size distribution and less batch-to-batch variation. They thus differ from branched PEIs which have broader size distributions and vary in the stoichiometry of their 1°/2°/3° amine contents.<sup>14,15</sup> The precursor polymers for linear PEIs, polyoxazolines, are currently tested as non-immunogenic PEG alternatives in clinical trials.<sup>16</sup> Likewise, the 25 kDa linear PEI (known as *in vivo* jetPEI®) successfully entered clinical trials for the delivery of plasmid DNA (pDNA) for different medical applications,<sup>17-19</sup> and another study used a mannobiose-modified LPEI/pDNA complex for vaccination against HIV *via* the dermal route.<sup>20</sup> The current clinical developments, however, primarily focus on the delivery of large pDNA rather than small RNAs like siRNA or miRNA, mainly caused by the suboptimal ability of linear PEIs to complex and deliver small and rigid nucleic acids like small RNAs.<sup>13</sup> Current strategies to improve LPEI-mediated siRNA transfection rely on increasing the siRNA size by using “sticky siRNAs”,<sup>21-23</sup> the combination of LPEI/siRNA complexes with liposomes (“lipopolyplexes”<sup>24</sup>), or the chemical modification of LPEIs. Examples include the cross-linking of LPEIs to branched molecules,<sup>25</sup> hexadecyl groups<sup>26</sup> or hydroxyethyl groups,<sup>27</sup> or the synthesis of PEI-PEG-PCL copolymers.<sup>28</sup>

Branched PEIs have been modified previously with different amino acids, leading to marked alterations in their properties.<sup>29,30</sup> The modification with aromatic amino acids phenylalanine, tryptophan and tyrosine has been shown to lead to improved biocompatibility and efficient siRNA delivery *in vitro* and identified the tyrosine derivative as most active.<sup>31,32</sup> A possible explanation for this efficacy might be improved proton sponge and polymer self-assembly properties.<sup>33</sup> Previously, we used a range of branched low molecular weight PEIs (2, 5 and 10 kDa) for tyrosine modification, and explored them *in vitro* and *in vivo*.<sup>34,35</sup> However, the use of linear (rather than branched) PEIs with low molecular weight would be more preferable for the reasons described above, but it has not been explored so far.

In this study, we generated a set of various linear polyethylenimines (2, 5, 10 and 25 kDa) bearing tyrosine modifications. From the assessment of these compounds for their capacity for siRNA delivery *in vitro* in various cancer cell lines, *ex vivo* in a tumor tissue slice model, and *in vivo* in complex tumor xenograft mouse models, we identify the

tyrosine-derivative of linear 10 kDa PEI as particularly efficient.<sup>107</sup> This is associated with favorable physicochemical properties and high biocompatibility, thus providing the basis for its possible translation into clinical studies.<sup>108-109</sup>

## Methods

Further information regarding materials and methods is given in the supplementary material.<sup>110-111</sup>

### Chemical synthesis of tyrosine-modified linear PEIs

Linear PEI (40 mg, 0.93 mmol in monomer units) was dissolved in 3 mL DMF, followed by addition of N,N-diisopropylethylamine (2 eq.; 325  $\mu$ L, 1.86 mmol). N-Boc-tyrosine (0.5 eq.; 131 mg, 0.465 mmol) was dissolved in 2 mL DMF, added to the polymer solution and stirred for ~15 min prior to the addition of benzotriazole-1-yl-oxy-tris-pyrrolidino-phosphonium hexafluorophosphate (PyBOP) (0.7 eq.; 520 mg, 0.651 mmol) and stirring at RT for 48 h. Afterwards, DMF and other low molecular weight impurities were removed by dialysis (MWCO 3.5 kDa, SpectraPor, Serva, Heidelberg, Germany) against methanol for 6 h. For deprotection, the methanolic polymer solution was mixed with the same amount of trifluoroacetic acid (~3 mL each) and stirred overnight. Methanol was then removed *in vacuo*, while excess TFA was removed by co-evaporation with ethanol (3  $\times$  25 mL). The product was dissolved in methanol and dialyzed against methanol (1 day), 0.1 M HCl in methanol (12 h), 0.1 M HCl in dH<sub>2</sub>O (12 h) and distilled water (1.5 days). Finally, the product was lyophilized to obtain tyrosine-modified linear PEI as a white powder, and characterized by <sup>1</sup>H NMR (400 MHz, solvent: D<sub>2</sub>O). The tyrosine content was determined according to the formula in Suppl. Figure 1. The tyrosine-modified LPEIs were abbreviated as follows: LPxY with *x* = molecular weight of the LPEI.<sup>112-114</sup>

### Cell culture, complex preparation and transfection

All cell lines were cultivated under standard conditions (37 °C, 5% CO<sub>2</sub>) in RPMI-1640 medium supplemented with 10% FCS. For transfection, cells were seeded into 24-well plates at 3.5  $\times$  10<sup>4</sup> cells per well containing 0.5 mL medium, or at 1  $\times$  10<sup>3</sup> cells/well in 100  $\mu$ L medium (96 well plates). Unless stated otherwise, no further medium change was done before or after addition of complexes. LPxY/siRNA complexes for one well (24-well plate) were prepared by mixing 0.4  $\mu$ g (30 pmol) siRNA per well in 12.5  $\mu$ L HN buffer (150 mM NaCl, 10 mM HEPES, pH 7.4) with 1  $\mu$ g LPxY in 12.5  $\mu$ L HN buffer, prior to incubation for 30 min. For assessing the influence of FCS on the transfection efficacies, LPxY/siRNA complexes were incubated in the presence of different FCS concentrations and under various conditions (1 h at RT, 3 days at 4 °C, 3 days at RT or 3 days at 37 °C).<sup>115-117</sup>

### Tissue slice preparation, cultivation, and treatment

Under sterile conditions, 350  $\mu$ m thick tissue slices were prepared from HROC24 tumor xenografts using a Leica VT 1000 vibratome (Leica Microsystems, Wetzlar, Germany). Slices<sup>118-120</sup>

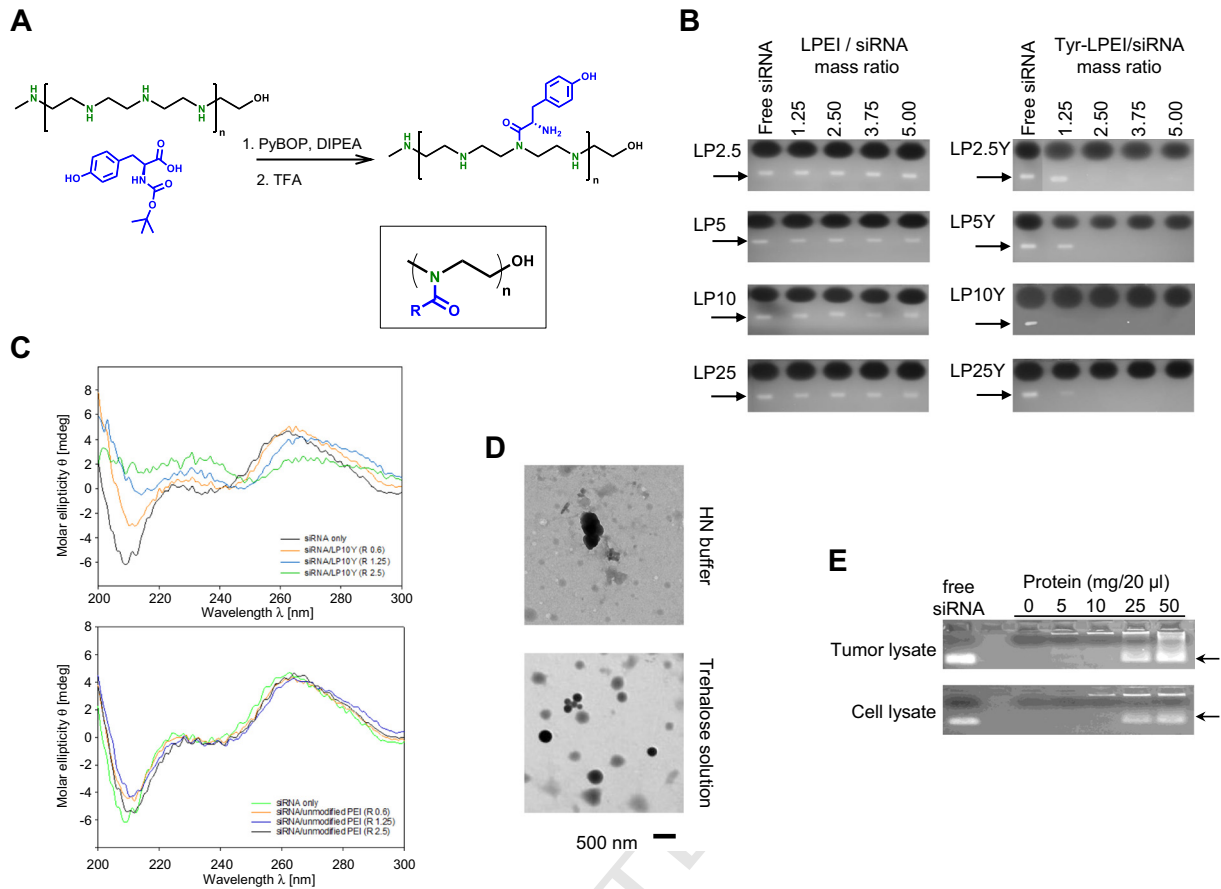


Figure 1. Chemical polymer synthesis and complex properties. (A) Synthesis scheme for tyrosine-modification of linear PEI; inset: structure similarity to poly(2-ethyl-2-oxazoline). (B) siRNA complexation efficacies of linear PEIs with molecular weights between 2.5 and 25 kDa (LP2.5-LP25; left) and their tyrosine-modified counterparts (right) at different polymer/siRNA mass ratios. Arrow: free siRNA. (C) CD spectrometry of LP10Y/siRNA (upper panel) and 10 kDa LPEI/siRNA mixtures (lower panel) with different polymer/siRNA mass ratios (see color code). Alterations of curves with increasing polymer/siRNA mass ratio indicate complex formation. (D) Transmission electron microscopic images of LP10Y/siRNA complexes, generated in HN buffer (upper images) or trehalose solution (lower images). (E) Assessment of complex stability in the presence of increasing concentrations of proteins derived from tumor xenograft (upper panel) or cell lysates (lower panel). Arrow: free siRNA.

158 were then stamped to a diameter of 3 mm (disposable biopsy  
 159 punch 3 mm; pfm medical ag, Köln, Germany) and placed onto  
 160 membrane culture inserts with a pore diameter of 0.4  $\mu\text{m}$   
 161 (Sarstedt, Nümbrecht, Germany), in 6-well plates containing  
 162 1 mL RPMI-1640 (10% FCS, 1% penicillin/streptomycin). After  
 163 incubation overnight (humidified atmosphere, 5%  $\text{CO}_2$ , 37  $^\circ\text{C}$ ),  
 164 the slices were transferred to a 96-well plate containing 100  $\mu\text{L}$   
 165 pre-warmed medium. LP10Y/siRNA complexes were added to  
 166 the slices and incubated for 6 h, prior to placing them back on the  
 167 membrane culture inserts and further cultivation, with the  
 168 medium being replaced every day. After 72 h incubation, slices  
 169 were collected for further analysis by RT-qPCR and Western  
 170 blotting (see Suppl. Materials and Methods for details).

#### 171 *In vivo* therapy in subcutaneous and orthotopic xenografts

172 Athymic nude mice (Foxn1nu/nu; Charles River Laborato-  
 173 ries, Sulzfeld, Germany) or SCID mice (NOD/SCID/IL2r  
 174 gamma (null); Jackson Laboratories, Bar Harbor, ME) were  
 175 kept at 25  $^\circ\text{C}$  in a humidified atmosphere, 12 h light/dark cycle,

with species-specific food and water *ad libitum*. Animal studies  
 were performed according to the national regulations and  
 approved by the local authorities (Landesdirektion Sachsen).  
 All animal experiments complied with the ARRIVE guidelines  
 and were carried out in accordance with the EU Directive 2010/  
 63/EU for animal experiments. For the generation of subcuta-  
 neous (s.c.) tumor xenografts,  $5 \times 10^6$  HROC24 cells in 150  $\mu\text{L}$   
 PBS were s.c. injected into both flanks of the mice. When  
 xenografts reached a size of  $\sim 100 \text{ mm}^3$ , mice were randomized  
 into four different treatment groups (untreated, LP10Y-  
 complexed siCTRL, siSurvivin, or siPLK; 6-9 tumors/group).  
 Complexes were prepared as described above and amounts  
 corresponding to 10  $\mu\text{g}$  siRNA were i.p. injected 3 times a week  
 over 2 weeks, with regular control of tumor size, animal  
 behavior and body weight. After termination of the *in vivo*  
 studies, mice were sacrificed by isoflurane overdose and  
 cervical dislocation, tumors and other organs were excised and  
 snap-frozen or fixed in formalin for further analysis.

The patient-derived xenograft (PDX) model was derived from  
 an adenocarcinoma of the esophagogastric junction (EGJ tumor



196 tissue). Of note, neoadjuvant chemotherapy according to the  
 197 FLOT (5-FU/leucovorin/oxaliplatin/docetaxel) protocol had  
 198 been administered before. Tumor specimens of 30 mm<sup>3</sup> were  
 199 used for PDX generation. 30 min prior to implantation, SCID  
 200 mice were given metamizol i.p., followed by isoflurane  
 201 anesthesia. The tumor tissue was implanted into a s.c. pouch in  
 202 the flank region of the mice, and the wound was closed using  
 203 histoacryl tissue adhesive. Maximum delay between surgical  
 204 removal of tumor tissue and primary engraftment of the mice was  
 205 4 h. In order to prevent wound infection, mice received 1.45 mg/  
 206 ml cotrimoxazol p.o. via drinking water for 10 days after  
 207 transplantation. PDX tumor tissues were propagated in mice for  
 208 three rounds. Six weeks after tumor transplantation, treatment  
 209 was performed 3 times a week by i.p. application of  
 210 nanoparticles as described above. At the end of the experiment,  
 211 tumor sizes were determined and organs (brain, lung, liver,  
 212 kidney, bowel) as well as lymph nodes were removed, fixed and  
 213 paraffin embedded.

214 For the generation of orthotopic glioblastoma xenografts, a  
 215 guide-screw system (Plastics One, Roanoke, VA) was used to  
 216 perform the intracerebral tumor cell engraftment and subsequent  
 217 injections of LP10Y/siRNA complexes. Guide screw implanta-  
 218 tion was performed essentially as described previously.<sup>36</sup>  
 219 Briefly, carprofen-pretreated mice were anesthetized by isoflur-  
 220 ane, prior to making a ~1 cm incision the scalp and removing the  
 221 underlying periosteum. After drilling a 1-mm burr hole into the  
 222 skull 1 mm rostral and 2 mm lateral to the bregma, the guide  
 223 screw was screwed into the burr hole. Two days after the  
 224 implantation of guide screws, 3 × 10<sup>5</sup> G55T2 glioblastoma cells  
 225 in 2 μL PBS were injected through the screw into the basal  
 226 ganglia of the mice, using a 27-gauge needle attached to a 25-μL  
 227 Hamilton syringe. Treatment was started at 7 days after tumor  
 228 inoculation, with 3 injections (days 5, 7, 10) over one week  
 229 (assessment of mouse survival) or with 6 injections over two  
 230 weeks (glioma xenograft analysis). Mice were anesthetized as  
 231 above prior to injecting 3 μL complexes containing 0.5 μg  
 232 siRNA at a flow rate of 12 μL/h. Upon termination of the  
 233 experiment 3 days after the last injection, mice were sacrificed as  
 234 above and brains were fixed in 4% paraformaldehyde solution.  
 235 For tumor size determination, vibratome sections were prepared  
 236 and stained with cresyl violet. For immunohistochemistry, brains  
 237 were fixed in formalin for paraffin-embedding.

#### 238 *In vivo* biodistribution and analysis of adverse effects

239 For the determination of *in vivo* LP10Y/siRNA complex  
 240 biodistribution, siRNA was radioactively labeled with γ-[32P]-  
 241 ATP (Hartmann Analytic, Braunschweig, Germany), using the  
 242 enzyme T4 polynucleotide kinase (Thermo Scientific) according  
 243 to the manufacturer's protocol. Labeled siRNA was mixed with  
 244 unlabeled siRNA at ratio of 1:4 and the complexes were prepared  
 245 as described above and injected i.p. or i.v. into HROC24 tumor  
 246 xenograft-bearing mice. After 4 h, mice were sacrificed and  
 247 tissues were collected for RNA isolation. Tissue samples were  
 248 weighted and homogenized in 1 mL TriFast reagent using an  
 249 ULTRA-TURRAX® homogenizer (IKA, Staufen, Germany).  
 250 Volumes containing more than 300 mg tissue were further  
 251 diluted with TriFast up to 1 mL. RNA was extracted following

the manufacturer's protocol, dissolved in 100 μL formamide and 252  
 heated for 10 min at 80 °C. 40 μL sample was mixed with 10× 253  
 loading dye and run on a 1% denaturing agarose gel (0.9% 254  
 formaldehyde) with TAE running buffer. The RNA was 255  
 transferred onto a Hybond-N+ membrane (Merck Millipore), 256  
 using standard capillary blot techniques (20× SSC transfer buffer 257  
 (3 M NaCl, 300 mM sodium citrate in DEPC-H<sub>2</sub>O). Bands 258  
 representing intact siRNA were visualized by autoradiography 259  
 (Fuji BAS 1000, Fuji, Düsseldorf, Germany) and quantitated 260  
 using free ImageJ software (NIH, Bethesda, MD). 261

The absence of immunostimulation of the LP10Y/siRNA 262  
 complexes was tested using the analysis of the immunostimulatory 263  
 cytokines (INF-γ, TNF-α). For this, LP10Y/siRNA complexes 264  
 (10 μg siRNA) were i.v. injected twice within 24 h into 265  
 immunocompetent C57BL/6 mice. Four hours after the last 266  
 injection, the blood was collected for analysis. Mice treated with 267  
 lipopolysaccharides (LPS) 50 μg in 150 μL (single injection) served 268  
 as positive control and untreated mice served as negative control. 269  
 The serum levels of INF-γ and TNF-α were determined using an 270  
 ELISA kit (PreproTech, Hamburg, Germany) following the 271  
 manufacturer's instructions. For monitoring effects on body weight, 272  
mice were 5x over 9 days treated by i.p. injection of complexes. 273

#### 274 *Statistics*

Statistical analyses were performed by Student's *t* test or one-way 275  
 ANOVA, and significance levels are \* = *P* < 0.03, \*\* = *P* < 0.01, 276  
 \*\*\* = *P* < 0.001. Unless indicated otherwise, differences be- 277  
 tween specific and non-specific treatment were analyzed, with at 278  
 least *n* = 3. 279

## 280 **Results**

### 281 *Preparation of tyrosine-modified LPEIs*

Linear PEIs of different molecular weights between 2.5 and 282  
 25 kDa were grafted with activated tyrosine according to the 283  
 scheme depicted in Figure 1, A. Initially, optimal reaction 284  
 conditions for the tyrosine modification of LPEI had to be 285  
 determined. Based on the previous results for the tyrosine- 286  
 modified branched PEIs,<sup>35</sup> a 30% tyrosine grafting was attempted. 287  
 However, using the same conditions with EDC/NHS as coupling 288  
 reagents and DMF/DCM as solvent mixture failed, only leading to 289  
 a tyrosine grafting of 3-5%. Increasing the reactant concentrations 290  
 or switching to the coupling reagent DMTMM in water or water/ 291  
 methanol proved unsuccessful with regard to further increasing the 292  
 tyrosine substitution grade as well. Only the more reactive 293  
 coupling reagent PyBOP, in combination with dimethyl formam- 294  
 ide (DMF) as solvent, finally yielded the desired tyrosine grafting 295  
 rates. The successful tyrosine modification was confirmed by <sup>1</sup>H 296  
 NMR (Suppl. Figure 1, A, Suppl. Table 4), with tyrosine contents 297  
 between ~30 and 36% (Suppl. Figure 1, B). 298

### 299 *Characterization of complexes based on tyrosine-modified LPEIs*

This high degree of tyrosine modification led to polymers 301  
 considerably different from the parent PEIs, in part resembling a 302  
 poly(2-ethyl-2-oxazoline) structure (Figure 1, A, inset). Notably, 303

the linear tyrosine-modified PEIs (LP<sub>x</sub>Y; Linear PEI <sub>x</sub> kDa, tyrosine (Y) grafted) showed substantially enhanced complexation efficacies of siRNAs compared to the respective parent PEIs. More specifically, gel electrophoresis of complexation reactions at different polymer/siRNA mass ratios revealed essentially no siRNA complexation in the case of the unmodified PEIs, independent of their molecular weight (Figure 1, B, left). In contrast, the tyrosine-modified PEIs were able to mediate full siRNA complexation already at mass ratio 2.5 (Figure 1, B, right; note the absence of the free siRNA band). This complex formation was found for tyrosine-modified PEI with molecular weight as low as 2.5 kDa. LP10Y was identified as even more efficient, with full complexation already at mass ratio 1.25, while the even higher molecular weight of LP25Y did not further contribute to complexation efficacy. LP10Y was thus selected for further studies. LP10Y-mediated complexation at mass ratios 1.25-2.5 was also confirmed by CD spectrometry, while again no complex formation was observed in the case of its unmodified 10 kDa PEI counterpart (Figure 1, C, upper vs. lower panel). LP10Y/siRNA complex sizes were dependent on buffer conditions during complexation and increased from ~135 nm in 20 mM HEPES/10% trehalose buffer to ~300 nm when using 10 mM HEPES/150 mM NaCl buffer (HN buffer; Table 1). Still, these LP10Y/siRNA complexes were smaller than their counterparts based on LP2.5Y, LP5Y or LP25Y, where very large complexes of up to 750 nm were found in HN buffer. Independent of complex sizes, zeta potentials were always determined in the range of ~-10.5-23.5 mV in the HEPES/trehalose buffer and 2.1-10.5 mV in HN buffer, respectively (Table 1). Complex sizes were also confirmed by transmission electron microscopy, showing distinct round-shaped nanoparticles prepared from the HEPES/ trehalose buffer and larger, less homogenous and spherical complexes formed in HN buffer (Figure 1, D). Complexes prepared in HN buffer were found to be more efficient for cell transfection in 2D cell culture as compared to their counterparts prepared in trehalose buffer (>90% vs. ~66% knockdown efficacy; data not shown). Thus, for subsequent *in vitro* experiments HN buffer was used for complexation.

t1.1 Table 1  
t1.2 Sizes and zeta potentials of LP<sub>x</sub>Y/siRNA complexes.

t1.3	Polymer	Buffer	Size [nm] (PDI)	Zeta potential [mV]
t1.4		10 mM HEPES 150 mM NaCl	564.45 ± 34.51 (0.353)	10.44 ± 0.44
t1.5	LP2.5Y	20 mM HEPES 10% Trehalose	159.2 ± 0.7 (0.294)	21.36 ± 0.94
t1.6		10 mM HEPES 150 mM NaCl	759.83 ± 25.02 (0.321)	7.48 ± 2.38
t1.7	LP5Y	20 mM HEPES 10% Trehalose	139.2 ± 2.7 (0.632)	10.53 ± 1.11
t1.8		10 mM HEPES 150 mM NaCl	304.03 ± 32.32 (0.218)	2.13 ± 0.08
t1.9	LP10Y	20 mM HEPES 10% Trehalose	134.6 ± 1.1 (0.252)	23.58 ± 2.98
t1.10		10 mM HEPES 150 mM NaCl	628.66 ± 68.91 (0.244)	8.34 ± 6.93
t1.11	LP25Y	20 mM HEPES 10% Trehalose	333.6 ± 2.8 (0.378)	14.02 ± 1.84

### Biological properties and activities of LP10Y/siRNA complexes 342

Even at LP10Y/siRNA mass ratio as low as 2.5, complexes were found very stable, fully resistant to dissociation in the presence of high-dose heparin (Suppl. Figure 2, A, left). Complex stabilities were not impaired by addition of 50% FCS prior to heparin incubation (Suppl. Figure 2, A, right). Since this could indicate insufficient intracellular siRNA release from the complexes, experiments were repeated with increasing concentrations of a total tissue lysate (prepared from a tumor xenograft) or cell lysate. Under both conditions, which resemble more closely a biological situation in cells, partial complex decomposition was observed starting at 25 mg protein/20 µL solution (Figure 1, E), thus confirming the possibility of siRNA release from the complex upon cellular internalization.

The time-dependent microscopic analysis after transfection of H441 cells with LP10Y-complexed, Atto488-labeled siRNA revealed rapid complex uptake, beginning at 30-60 min after transfection start with continuous increase over 6 h (Suppl. Figure 2, B). Concomitantly, membrane fluidity analyzed by fluorescence anisotropy with 1,6-diphenylhexatriene (DPH) or its trimethylammonium derivative (TMA-DPH) as extrinsic membrane probes revealed the concentration dependent interaction of LP10Y. This was considerably more profound than in the case of its non-tyrosine modified counterpart LP10 (Suppl. Figure 2, C). In agreement with this, LP10Y/siRNA complex uptake efficacies were determined to be considerably more profound as compared to their counterparts without tyrosine modification. Indeed, flow cytometry revealed >10-fold higher intracellular levels of fluorophore-labeled siRNAs in H441 cells upon transfection with LP10Y/siRNA complexes (Suppl. Figure 3, A ± B). This was also confirmed by confocal microscopy, where cells were transfected with LP10Y complexed, Alexa647-labeled siRNA and the lysosomes were stained with LysoTracker green. After 24 h, complex uptake was observed in the majority of cells, with signals being mostly colocalized with LysoTracker signals and thus appearing as yellow, indicating subcellular localization in the lysosomes. However, many cells also showed strong red fluorescence, scattered or diffuse throughout the cytoplasm, indicating released siRNA (Suppl. Figure 3, C). In contrast, no detectable uptake was seen in the case of the LP10/siRNA complexes (Suppl. Figure 3, D).

The combination of improved complexation efficacy, enhanced membrane interaction and high complex stability of tyrosine-modified PEIs led to substantially increased siRNA-mediated knockdown efficacies and improved biocompatibility. Independent of molecular weights between 2.5 and 25 kDa, an at least 80-90% reduction of luciferase activity over the corresponding negative control was observed in H441-luc reporter cells without adverse effects on cell viability. In contrast, their non-modified counterparts were largely inactive and, with increasing molecular weight, rather toxic (Figure 2, A). This was particularly true for the 10 kDa linear PEI (LP10), which benefitted most from tyrosine grafting. This improvement of its biological activity and biocompatibility was strongly dependent on the degree of tyrosine grafting, with ~30% tyrosine content identified as optimal while lower degrees of modification with tyrosine were found insufficient and higher tyrosine content

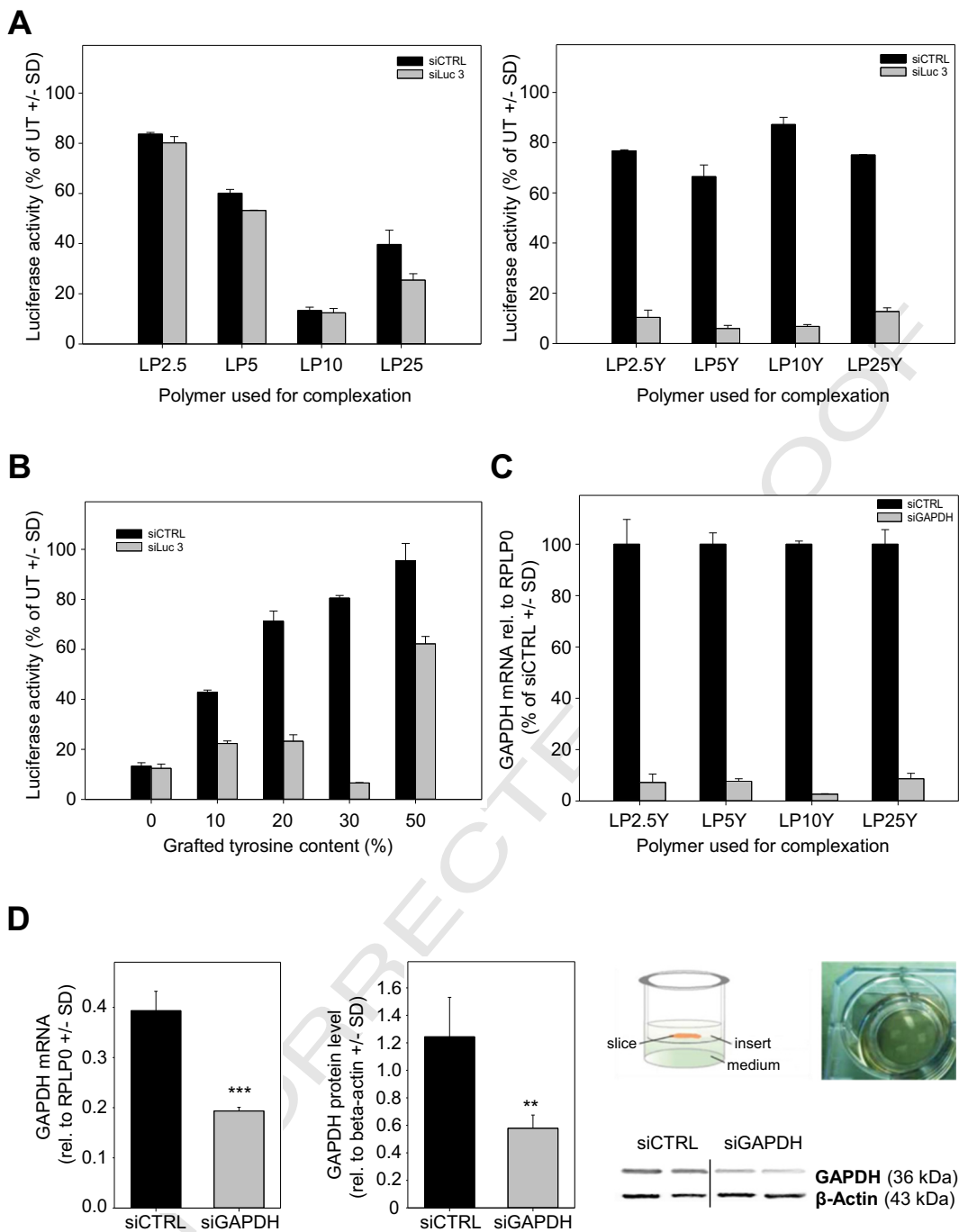


Figure 2. Biological knockdown efficacies *in vitro* and *ex vivo*. (A) Knockdown efficacies of complexes based on linear PEIs with molecular weights between 2.5 and 25 kDa (LP2.5-LP25; left) and their tyrosine-modified counterparts (right) in H441-luc cells, as determined by luciferase reporter activities. Black and gray bars: complexes containing non-specific and luciferase-specific siRNAs, respectively. (B) Dependence of LP10Y/siRNA knockdown efficacies on the degree of tyrosine grafting. (C) Knockdown of an endogenous gene (GAPDH), as determined by RT-qPCR (RPLP0: loading control). (D) Analysis of knockdown efficacy in a tissue slice model. 350  $\mu$ m tissue slices from HROC24 xenografts were cultivated in an air-liquid interface culture (scheme, upper right) and treated with LP10Y/siRNA complexes. Bar diagrams specific knockdown of GAPDH on the mRNA (left) and protein level (center). Lower right: original Western blot.

399 again impaired knockdown efficacy (Figure 2, B). Switching  
 400 to an endogenous target gene (GAPDH), LP10Y was again  
 401 identified as most efficient, mediating a >95% gene knock-  
 402 down (Figure 2, C). This was also confirmed when reducing  
 403 siRNA amounts employed for gene knockdown. Results on

mRNA knockdown were paralleled by a concomitant reduc- 404  
 tion of luciferase protein levels (Suppl. Figure 4, A). In 405  
 agreement with our results on complexation efficacy, a 406  
 polymer/siRNA mass ratio of 2.5 was sufficient for exerting 407  
 maximum knockdown effects, while a further increase of 408



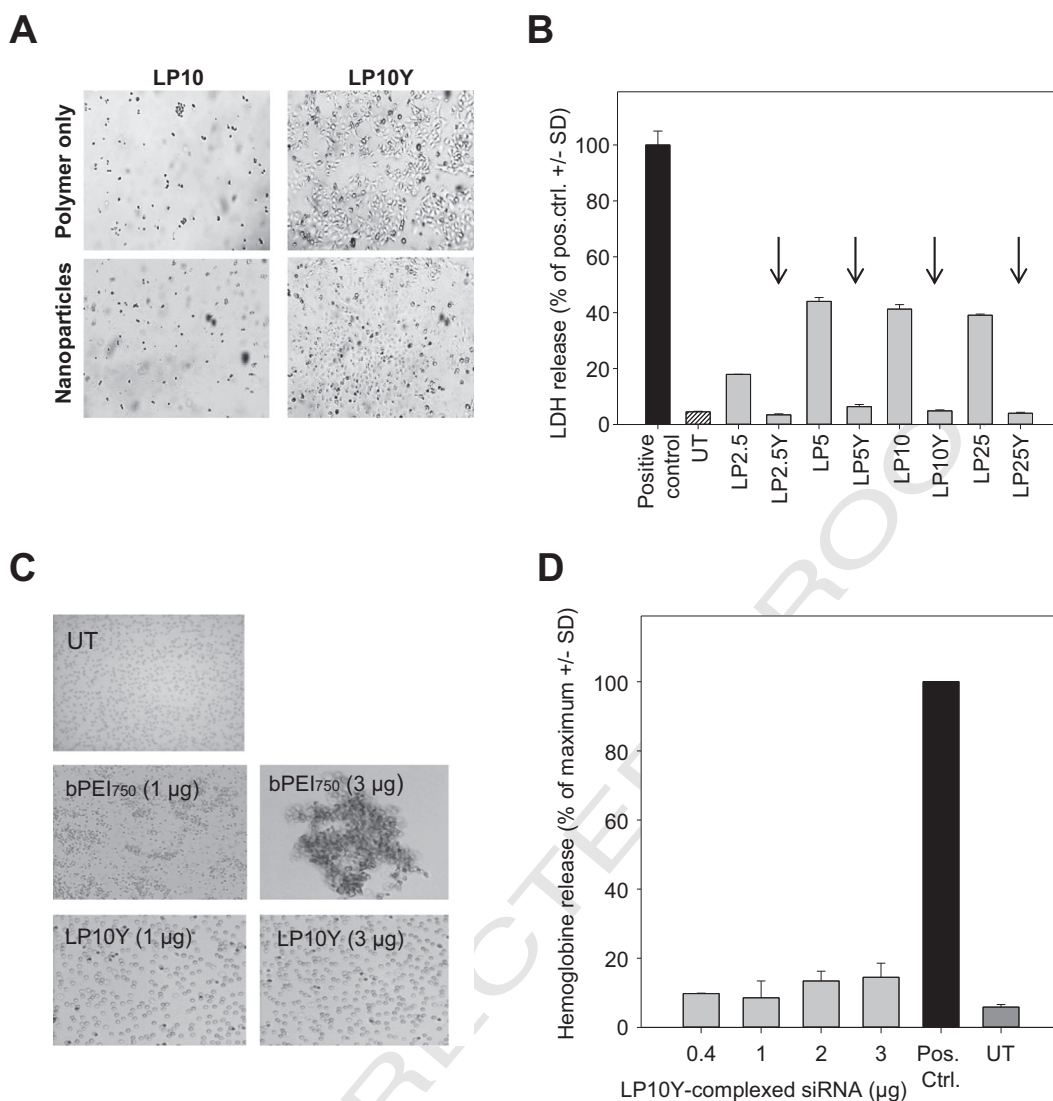


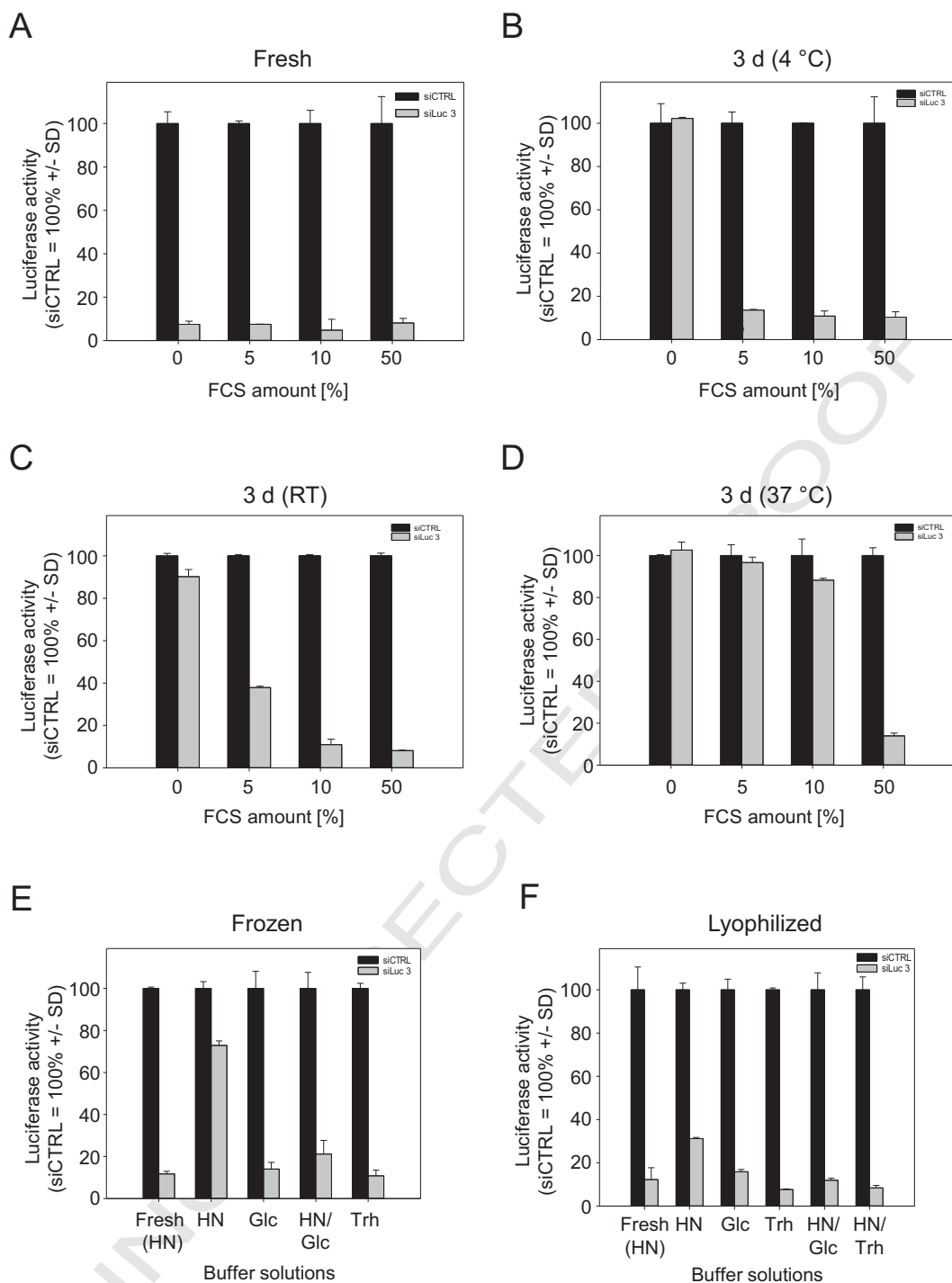
Figure 3. Analysis of LP10Y/siRNA biocompatibility. (A) Microscopic images of H441-luc cells 3 days after treatment with 10 kDa PEI or its tyrosine-modified derivative (upper panel), or the respective siRNA complexes derived thereof (lower panel). (B) LDH release assay for the assessment of cytotoxicity. H441-luc cells were transfected with complexes based on the various polymers and LDH levels were measured in the supernatant. Black bar: positive control (100% release); UT: untreated cells; arrows: complexes based onto tyrosine-modified PEIs. (C) Microscopic pictures from an erythrocyte aggregation assay after treatment with complexes as indicated. (D) Hemoglobin release from erythrocytes after treatment with LP10Y/siRNA complexes at various amounts. Black bar: positive control; UT: background level of untreated erythrocytes.

409 polymer content only led to cytotoxic effects without further  
 410 improvement of knockdown (Suppl. Figure 4, B). This trend of  
 411 LP10Y being most efficient was also observed in other cell  
 412 lines (Suppl. Figure 5, A). Notably, beyond SKOV3 ovarian  
 413 carcinoma cells this also covered rather hard-to-transfect cell  
 414 lines like HCT116 colon carcinoma or HROC24 primary colon  
 415 carcinoma cells, where still >70% knockdown was obtained  
 416 (Suppl. Figure 5, A). In agreement with rapid complex uptake  
 417 (see Suppl. Figure 2, B), maximum knockdown was achieved  
 418 after 2-3 days (Suppl. Figure 5, B). Despite cell proliferation  
 419 presumably leading to siRNA dilution, full knockdown  
 420 efficacy was maintained at least until day 7, with an only  
 421 slight decrease thereafter (day 10; Suppl. Figure 5, B, left).  
 422 This indicates a rather rapid onset and long duration of target  
 423 gene knockdown.

424 Studies were also extended towards *ex vivo* 3D tissue slice  
 425 models, representing intact tumor tissue. For this, 350 μm slice  
 426 from HROC24 tumor xenografts was cultivated in an air-liquid  
 427 interface setting (see scheme in Figure 2, D, upper right) and  
 428 treated once with LP10Y/siRNA complexes. Despite the intact  
 429 tissue representing a permeation barrier, a >50% target gene  
 430 knockdown was observed on the mRNA as well as on the protein  
 431 level (Figure 2, D, left and center).

#### High biocompatibility of LP10Y/siRNA complexes

432  
 433 In addition to optimal knockdown activity, high biocompat-  
 434 ibility and absence of adverse effects are critical requirements for  
 435 nanoparticles identified as optimal for potential therapeutic use.  
 436 The microscopic evaluation of cell density and viability upon



**Figure 4.** Biological knockdown efficacies of LP10Y/siRNA complexes in H441-luc cells under different conditions. (A) Knockdown efficacy upon pre-incubation of complexes in the presence of different concentrations of fetal calf serum (FCS). Black and gray bars: complexes containing non-specific and luciferase-specific siRNAs, respectively. Knockdown efficacies of complexes after incubation for 3 days at 4 °C (B), at room temperature (C) or at 37 °C (D). Knockdown efficacies of complexes prepared in different buffer solutions with subsequent storage at  $-20^{\circ}\text{C}$  (frozen; E) or lyophilization (F).

437 treatment with nanoparticles or the corresponding free polymer  
 438 revealed the above-noted enhancement of biocompatibility upon  
 439 tyrosine-modification of linear PEI (Figure 3, A). This  
 440 corresponded well with results from LDH release assays:  
 441 independent of their molecular weight, toxicities of tyrosine-

modified linear PEIs remained at background levels while their 442  
 non-modified counterparts showed rather profound ~20% 443  
 (2.5 kDa)~40% (5 kDa and above) toxicity (Figure 3, B). 444  
 Very good biocompatibility was also seen in erythrocyte aggregation 445  
 and hemoglobin release assays, revealing no stimulation of 446

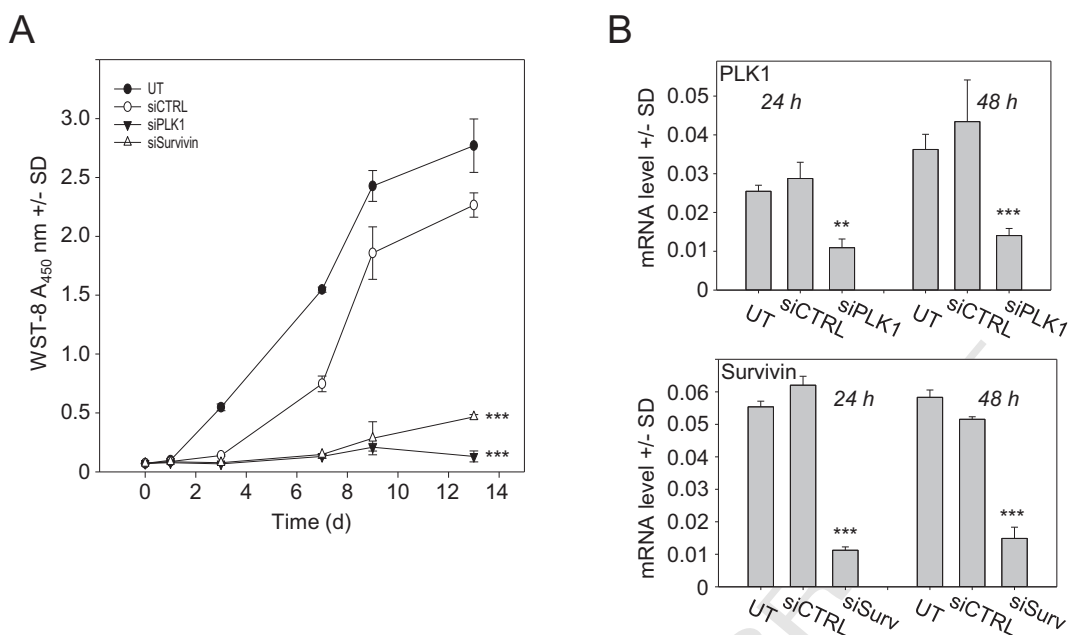


Figure 5. Tumor cell inhibition upon knockdown of oncogenes. (A) Proliferation assay of HROC24 cells upon transfection with LP10Y/siRNA complexes as indicated by the symbols. UT: untreated. (B) Tumor cell inhibition is based on the specific LP10Y/siRNA-mediated knockdown of PLK1 (upper panel) or Survivin (lower panel).

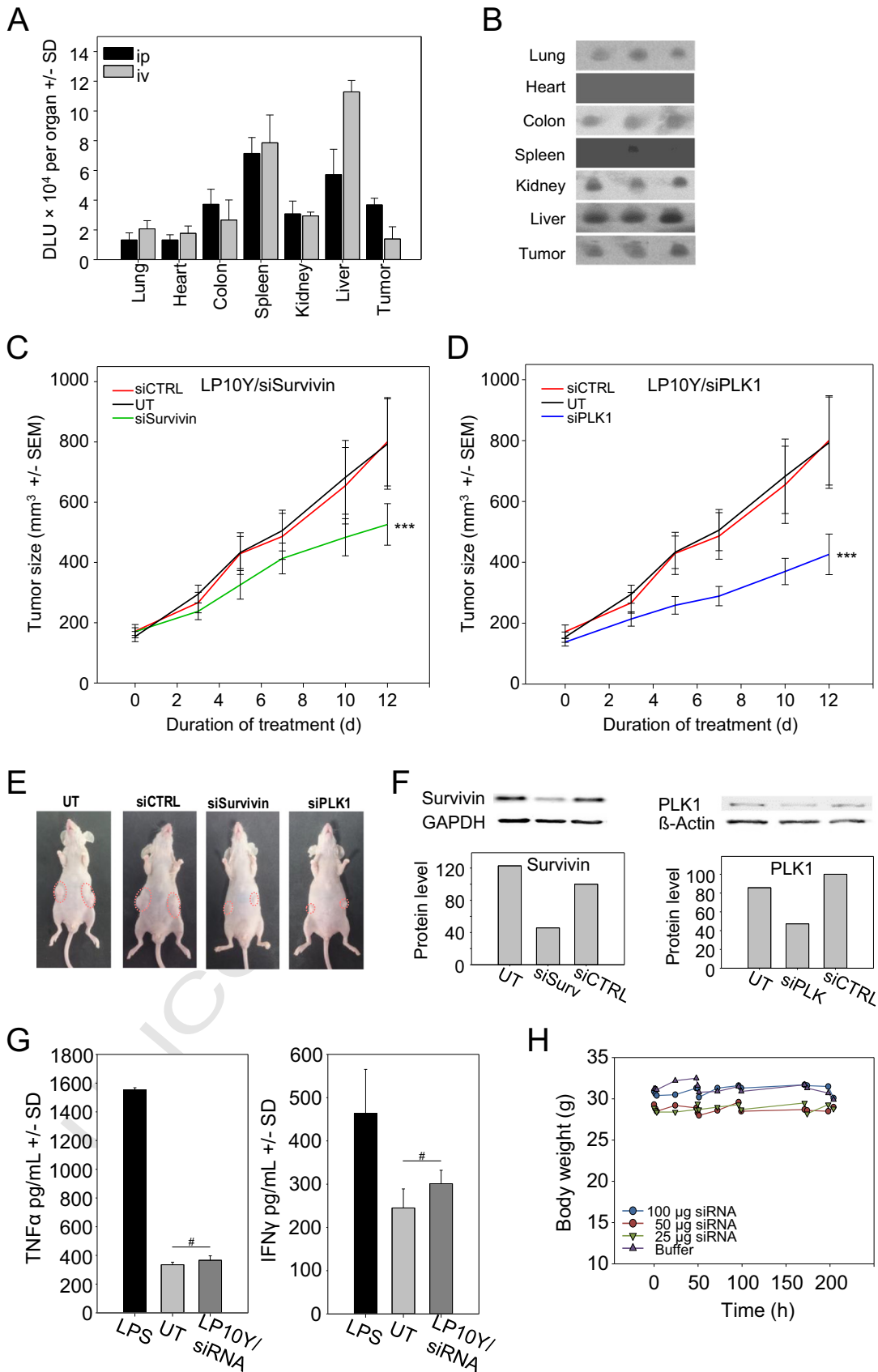
erythrocyte aggregation (Figure 3, C) and hemoglobin release only slightly above background (Figure 3, D). Absence of toxic effects was also confirmed in Caspase-3/-7 assays. No increase in Caspase-3/-7 activity was observed, excluding the induction of extrinsic or intrinsic apoptosis at 24 h or 72 h after transfection with LP5Y/siRNA or LP10Y/siRNA complexes (Suppl. Figure 6, A). This was accompanied by the absence of genotoxicity. In contrast to the positive control ( $H_2O_2$ ), COMET assays revealed no genotoxic effects of LP10Y/siRNA complexes at 24 h after transfection into H441 cells (Suppl. Figure 6, B). This was also true for complexes based on the other tyrosine-modified linear PEIs (data not shown), while the non-modified counterpart of LP10Y, the 10 kDa linear PEI, showed dose-dependently single COMET signals at 30 pmol, indicating slight genotoxicity (Suppl. Figure 6, B; see quantitation and arrow in the right panel).

#### 462 Analysis of cellular uptake properties and complex stability

463 For successful transfection, nanoparticle uptake is a major  
464 issue and was thus analyzed in greater detail. In agreement with  
465 the microscopic evaluation of cell uptake of LP10Y-complexed  
466 fluorophore-labeled siRNA (see Suppl. Figure 2, B), time-  
467 dependent medium exchange experiments revealed that >50%  
468 knockdown was already achieved when removing the complexes  
469 as early as 1 h after transfection and maximum knockdown  
470 efficacy was obtained upon incubation for ~6 h, with no further  
471 improvement thereafter (Suppl. Figure 7, A). To elucidate the  
472 mechanism of cell uptake of LP10Y/siRNA complexes, H441-  
473 luc cells were transfected in the absence/presence of various  
474 inhibitors (Suppl. Figure 7, B). The addition of DMSO as solvent  
475 control for the inhibitors exerted a minimal non-specific decrease  
476 in knockdown efficacy. In contrast, increasing concentrations of

methyl- $\beta$ -cyclodextrin (MBCD) as a widely used method for  
477 inducing acute depletion of cholesterol, which is essential for the  
478 formation of lipid rafts in cell membranes,<sup>37</sup> led to a dose-  
479 dependent reduction of luciferase knockdown. To the contrary,  
480 filipin-3 as inhibitor of caveolin-mediated internalization left  
481 bioactivities of LP10Y/siRNA complexes largely unchanged as  
482 compared to negative control treatment (DMSO), and in the case  
483 of chlorpromazine as inhibitor of clathrin-dependent internali-  
484 zation, high concentrations were required for some minor  
485 inhibition. Full abrogation of knockdown efficacy was seen  
486 under bafilomycin A1 treatment, an inhibitor of vacuolar  
487 ATPases that prevents endosome acidification, at already low  
488 concentrations (Suppl. Figure 7, B).  
489

To address if these differential effects of inhibitors on  
490 knockdown efficacies are based on complex uptake or  
491 intracellular processing, experiments were extended towards  
492 monitoring LP10Y-complexed, fluorophore-labeled siRNA up-  
493 take. In agreement with knockdown data, methyl- $\beta$ -cyclodextrin  
494 led to a dose-dependent decrease in cellular complex uptake  
495 (Suppl. Figure 7, C). This inhibition of complex uptake,  
496 however, was more pronounced than the decrease in knockdown  
497 efficacy (compare to Suppl. Figure 7, B), indicating that  
498 somewhat lower intracellular siRNA levels are still sufficient  
499 for inducing RNAi. This was also true for chlorpromazine where  
500 the dose-dependent inhibition of complex uptake was more  
501 profound than effects on knockdown, with the latter only seen at  
502 the highest inhibitor concentration. In line with the absence of  
503 filipin-3 effects on biological activity, no changes in complex  
504 uptake were observed. Taken together, this indicates that  
505 complex uptake is one major determinant of LP10Y/siRNA  
506 knockdown activity. In contrast, bafilomycin A1 did not impair  
507 complex uptake, albeit abolishing knockdown, thus identifying  
508





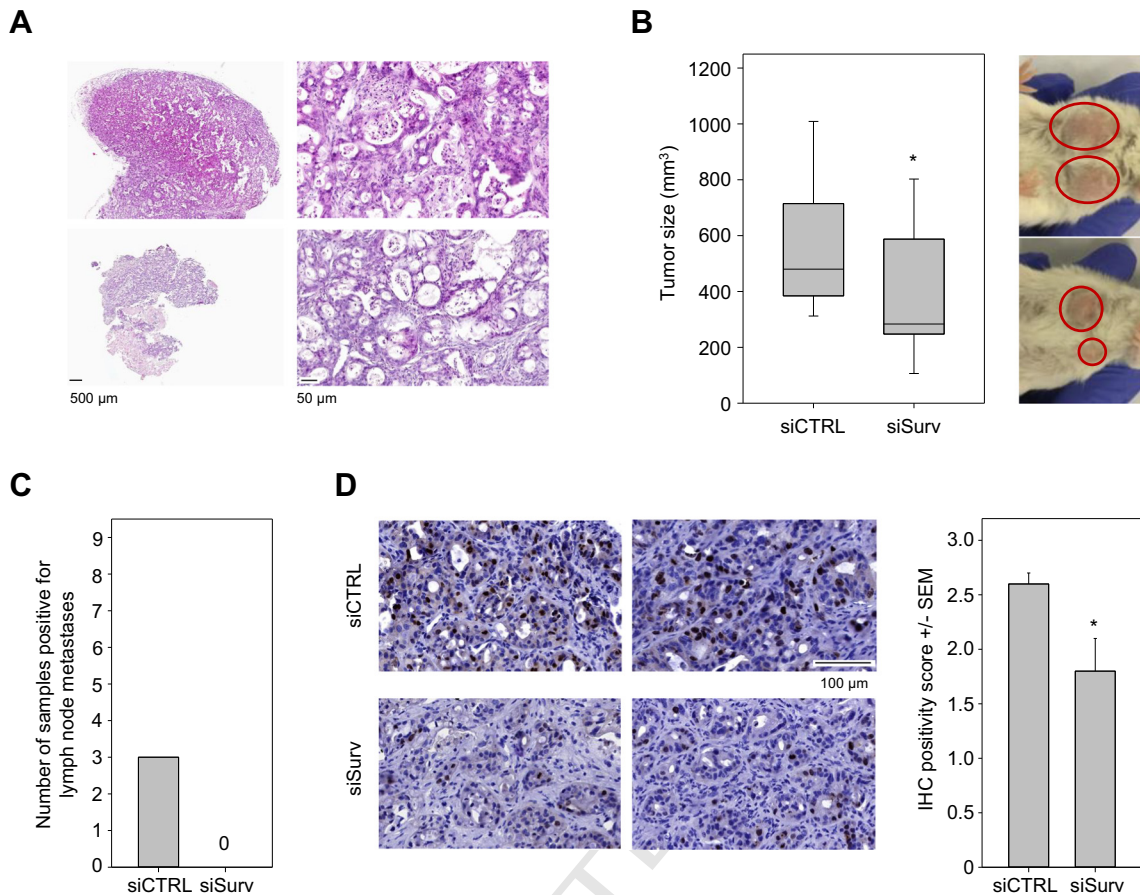


Figure 7. Therapeutic effects of LP10Y/siRNA complexes targeting Survivin in an s.c. PDX model. (A) Microscopic pictures showing the histology of a PDX tumor (upper panel) and a lymph node metastasis (lower panel). (B) Tumor-inhibitory effect of LP10Y/siSurv treatment, as determined at 12 weeks after treatment start. (C) Number of samples positive for lymph node metastases upon termination of the experiment. (D) Immunohistochemistry for Survivin (brown staining). Left: representative pictures of PDX tumors from negative control (upper panel) and specific treatment group (lower panel). Right: Quantitation of immunopositivity scores.

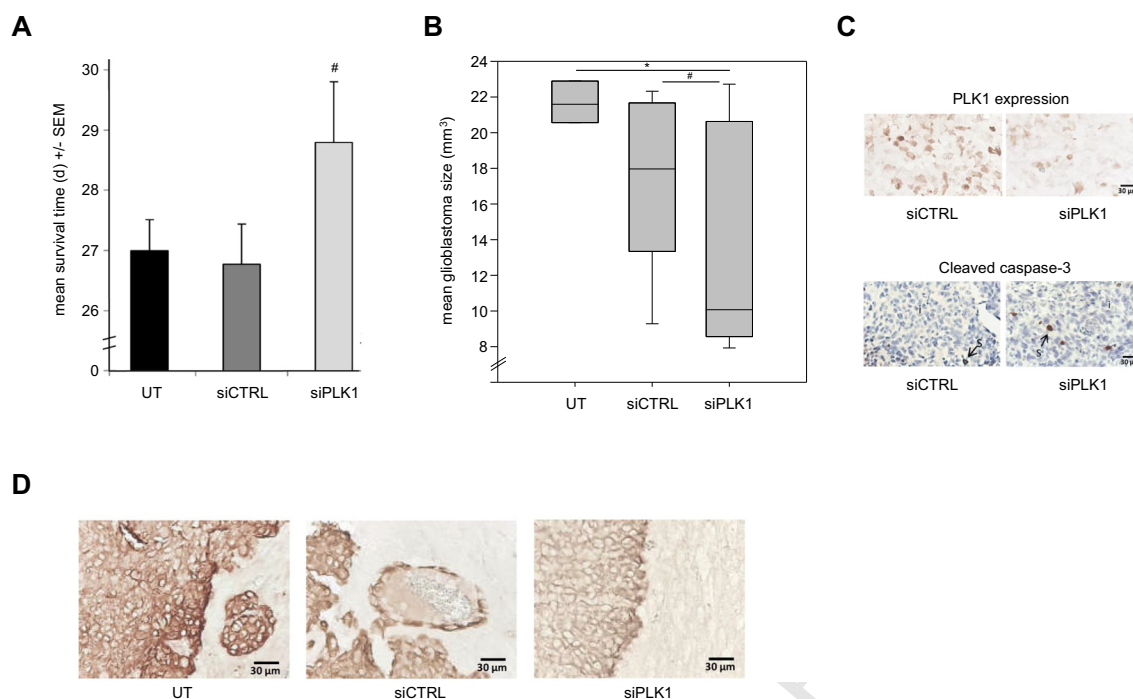
509 intracellular processing as critically involved in biological  
510 activity, besides cellular internalization.

511 For potential therapeutic use, stabilities of the complexes in  
512 the presence biological media and upon storage are critical  
513 parameters as well. Pre-incubation of LP10Y/siRNA complexes  
514 in the presence of up to 50% fetal calf serum (FCS) prior to  
515 transfection did not lead to alterations in knockdown efficacy,  
516 indicating full stability of the complexes towards high protein/  
517 serum concentrations (Figure 4, A). Quite in contrast, the  
518 addition of FCS was even found to fully protect complex activity  
519 during prolonged (3 days) storage at 4 °C, presumably by  
520 avoiding aggregation (Figure 4, B). For this, the addition of 5%  
521 FCS was already sufficient. Switching to higher temperatures,

however, required higher FCS concentrations for protecting the  
522 complexes (Figure 4, C). Still, 10% FCS was sufficient at room  
523 temperature for the complete preservation of complex bioactivity  
524 over at least 3 days, and 50% FCS protected the complexes even  
525 at 37 °C (Figure 4, D). These findings regarding the FCS-  
526 mediated inhibition of complex aggregation were also confirmed  
527 by results from count rates in zetasizer measurements, which  
528 remained high upon storage, in particular at RT and 37 °C, only  
529 in the presence of serum (data not shown). 530

Next, the suitability of different storage forms was assessed. 531  
Freezing of the complexes protected their activity dependent on 532  
the buffer solution employed for complexation. Low ionic 533  
strength buffers containing glucose or trehalose as cryoprotectors 534

Figure 6. *In vivo* application of LP10Y/siRNA complexes in an s.c. tumor xenograft mouse model. (A) Radioactive biodistribution experiment, with bars indicating siRNA levels in the various organs at 4 h after i.p. (black) or i.v. injection (gray) of the complexes containing [<sup>32</sup>P]-labeled siRNA (DLU: density light units). (B) Autoradiography results from three mice after i.p. injection of the complexes. (C, D) Growth curves of HROC24 tumor xenografts upon treatment of mice as indicated in the figure (UT: untreated tumor-bearing mice). Tumor-inhibitory effects are observed in the specific treatment groups (LP10Y/siSurvivin (C) and LP10Y/siPLK1 (D)) vs. negative control groups (LP10Y/siCTRL and untreated). (E) Representative pictures of one mouse per group. (F) Knockdown of the respective target genes, Survivin (left) and PLK1 (right), as determined by Western blotting. (G) TNF $\alpha$  and IFN $\gamma$  levels in immunocompetent mice treated by i.v. injection of LP10Y/siRNA complexes vs. negative control (untreated; UT). Black bar: positive control (lipopolysaccharide, LPS). (H) Monitoring of mouse body weights upon repeated i.p. application of LP10Y/siRNA complexes at different amounts.



**Figure 8.** Therapeutic efficacy of LP10Y/siRNA complexes targeting PLK1 in an orthotopic glioblastoma mouse model. **(A)** Increase in mouse survival time upon treatment with LP10Y/siPLK1 complexes, as compared to negative control groups. UT: untreated tumor-bearing mice; #, n.s. **(B)** Decrease in mean glioblastoma sizes upon termination of the experiment. **(C)** Specific reduction of PLK1 expression levels (upper panel) and increase of cleaved Caspase-3 positive cells (lower panel) in the LP10Y/siPLK1 treatment group, as determined by immunohistochemistry. Bar: 10  $\mu$ m. **(D)** Higher magnification of the edges of the tumors, immunohistochemically stained for Vimentin. Bar: 30  $\mu$ m.

535 fully preserved knockdown efficacy, while using HEPES/NaCl  
 536 buffer led to a profound reduction of the biological activity of  
 537 frozen complexes (Figure 4, E). More importantly, complexes  
 538 were found to be stable towards lyophilization. With the  
 539 exception of complexes in HEPES/NaCl buffer where a slight  
 540 reduction of knockdown efficacy was observed, complex activity  
 541 was fully preserved (Figure 4, F).

#### 542 Tumor cell-inhibitory effects of LP10Y/siPLK1 and LP10Y/ 543 siSurvivin complexes in vitro

544 Switching towards tumor-relevant oncogenes, Polo-like  
 545 kinase 1 (PLK1) and Survivin, LP10Y/siRNA-mediated knock-  
 546 down led to profound tumor cell inhibition, as demonstrated in  
 547 HROC24 proliferation assays (Figure 5, A). While only slight  
 548 non-specific transfection effects were observed when comparing  
 549 growth curves of untreated and negative control LP10Y/siCtrl  
 550 treated cells, transfection with LP10Y/siPLK1 or LP10Y/  
 551 siSurvivin led to an essentially complete abolishment of tumor  
 552 cell proliferation. RT-qPCR revealed that this was based on a  
 553 profound knockdown of target gene mRNA levels, which, in  
 554 particular in the case of Survivin, was fully established already at  
 555 24 h after transfection (Figure 5, B).

#### 556 In vivo biodistribution and biocompatibility; efficacy in 557 subcutaneous tumor xenografts

558 Most important is the usability of a nanoparticle system for  
 559 therapeutic in vivo use. Preclinical therapeutic efficacy was

initially assessed in s.c. tumor xenograft-bearing mice. Biodis-  
 560 tribution of siRNA upon systemic application and in particular  
 561 the delivery of intact full-length siRNA to its intended site of  
 562 action were analyzed in a biodistribution assay based on  
 563 radioactively labeled siRNA. 4 h after i.p. or i.v. application of  
 564 LP10Y-complexed, [<sup>32</sup>P] end-labeled siRNA, various organs  
 565 and tissues were taken, RNA was prepared and analyzed by gel  
 566 electrophoresis and autoradiography. The delivery of intact, full-  
 567 length siRNA is thus indicated by bands. Upon i.v. injection,  
 568 major signals were detected in liver and spleen, as to be expected  
 569 while delivery to other organs/tissues including tumor xenografts  
 570 was low (Figure 6, A, Suppl. Figure 8, A). In contrast, i.p.  
 571 administration of the LP10Y/siRNA complexes led to higher  
 572 levels in the tumors, almost in the range of the liver (Figure 6, A,  
 573 B). This indicated that the complexes become systemically  
 574 available also after i.p. injection, and consequently delivery to  
 575 other organs was observed as well. Based on these data, i.p.  
 576 injection was chosen for subsequent therapy studies. 577

Upon establishment of s.c. HROC24 xenograft tumors with  
 578 mean sizes of ~150-170 mm<sup>3</sup> and solid growth, mice were  
 579 randomized into negative control (untreated, LP10Y/siCTRL)  
 580 and specific treatment groups. Systemic treatment with LP10Y/  
 581 siSurv complexes led to a ~40% reduction in tumor growth over  
 582 12 days (Figure 6, C). In the case of LP10Y/siPLK1 treatment, a  
 583 more profound ~50% tumor inhibition was observed (Figure 6,  
 584 D; see Figure 6, E for representative pictures of mice). Upon  
 585 termination of the experiment, tumors were excised and analyzed  
 586 for target gene expression. In both cases, analysis of tumor 587

588 lysates by Western blot revealed a >50% reduction of the target  
589 genes on the protein level (Figure 6, F). This finding also  
590 highlights, however, that a quite substantial inhibition of a single  
591 target gene may not be sufficient for therapeutic tumor control.

592 *In vivo* biocompatibility of LP10Y/siRNA complexes was  
593 assessed as well. Different tissues from the mice of the above  
594 therapy study were microscopically analyzed for histological  
595 alterations. Liver, lung, spleen and kidney did not reveal any  
596 differences between untreated and LP10Y/siRNA treated mice  
597 (Suppl. Figure 9). Furthermore, the complexes did not elicit an  
598 immune response. For this experiment, immunocompetent mice  
599 were chosen and no increases in TNF $\alpha$  or INF $\gamma$  levels were  
600 observed even after i.v. injection of complexes (Figure 6, G).  
601 Likewise, no alterations in body weight were observed upon  
602 repetitive i.p. treatment over 9 days. This was also true for 10-  
603 fold higher LP10Y/siRNA amounts, corresponding to 100  $\mu$ g  
604 siRNA (Figure 6, H).

#### 605 *Target gene knockdown and anti-metastatic effects in a patient-* 606 *derived xenograft (PDX) model*

607 Patient-derived xenografts represent the closest correlate to an  
608 original patient tumor, and are thus an ideal model for studying  
609 therapeutic effects. PDX from an adenocarcinoma of the  
610 esophagogastric junction was established in mice and propagated  
611 over three passages. The microscopic evaluation revealed a  
612 medium-grade differentiated adenocarcinoma with a Barrett's  
613 metaplasia (adenocarcinoma of the esophago-gastric junction  
614 (AEG) type I-II according to Siewert's classification), with the  
615 morphology and the distinct histological patterns of the initial  
616 tumor being well preserved (Figure 7, A, upper panel). These  
617 structures distinguished the PDX model from xenografts based  
618 on s.c. injected suspensions of tumor cell lines, as well as slower  
619 growth rates which thus resembled more the clinical situation.  
620 Notably, in untreated mice, lymph metastases were seen at the  
621 time point of termination of the experiment. More specifically,  
622 metastasis-positive lymph nodes appeared unstructured, with  
623 massive tumor infiltration (Figure 7, A, lower panel).

624 PDX-bearing mice were randomized when the tumors  
625 reached  $\sim 50$  mm<sup>3</sup> and i.p. treated 3 $\times$ /week over a period of  
626 12 weeks with LP10Y/siSurv or LP10Y/siCTRL complexes.  
627 Upon termination of the experiment, the specific treatment group  
628 revealed a significant reduction in tumor size compared to  
629 negative control (Figure 7, B). Notably, metastasis formation  
630 was seen in 3/9 mice in the negative control group, while no  
631 metastases were observed in the specific treatment group (Figure  
632 7, C). The microscopic analysis of paraffin sections of the  
633 tumors revealed that this tumor inhibitory effect was accompa-  
634 nied by a knockdown of the target gene, Survivin, as determined  
635 by immunohistochemistry (Figure 7, D).

#### 636 *Antitumor effects of LP10Y/siPLK complexes in an orthotopic* 637 *glioma model*

638 Finally, we also explored our P10Y/siRNA complexes for  
639 glioma treatment. For this, an orthotopic glioma xenograft model  
640 was used, which resembles more closely the *in vivo* situation  
641 than s.c. tumor xenografts. For the establishment and repeated  
642 treatment of orthotopic gliomas, a guide screw was implanted in

the skull of immunodeficient mice three days prior to tumor cell  
643 injection. Pre-experiments revealed tumors at the earliest time  
644 point of analysis (6 days after injection of G55T2 cells), with  
645 moderate growth kinetics until day 14 (2.6-fold increase) and a  
646 rapid 10-fold increase in tumor size thereafter (day 14-25; data  
647 not shown). For the evaluation of specific PLK1 knockdown  
648 treatment effects on survival times upon, mice were injected  
649 three times (days 5, 7 and 10) with 3  $\mu$ L LP10Y/siPLK  
650 complexes containing 0.5  $\mu$ g siRNA. In order to avoid the  
651 blood-brain barrier, direct injection into the brain was  
652 performed, as described previously even in clinical studies.<sup>38</sup>  
653 The specific treatment led to an increase in overall survival time  
654 as compared to negative controls (Figure 8, A). Notably, no  
655 difference was observed between untreated and LP10Y/siCTRL  
656 treated mice, indicating the absence of non-specific toxicity of  
657 the complexes even upon direct complex injection into the brain.  
658

659 For further analysis of the treatment effects on the glioma  
660 xenografts, the experiment was repeated with treatment six times  
661 every 2-3 days in the period of days 7-18, prior to explantation of  
662 the brains on day 21. The determination of tumor sizes from  
663 vibratome sections stained with cresyl violet revealed a reduction  
664 of tumor sizes in the specific treatment group by 39% or 25%  
665 compared to the untreated or negative control treated group,  
666 respectively (Figure 8, B). This was based on the profound  
667 knockdown of PLK1 expression in the specific treatment group,  
668 as determined by immunohistochemical analysis of paraffin  
669 sections of the gliomas (Figure 8, C, upper panel). Concomi-  
670 tantly, an increase in cleaved Caspase-3 was observed in the  
671 tumor tissue, indicating the induction of apoptosis upon PLK1  
672 knockdown (Figure 8, C, lower panel). Since tumor cell invasion  
673 into the surrounding healthy tissue is a major issue in gliomas,  
674 contributing to poor resectability of the tumor and concomitant  
675 poor prognosis, we also analyzed the tumor boundaries with  
676 regard to signs of invasion. Indeed, tumor tissue boundaries were  
677 found much more even in the specific treatment group, indicating  
678 the absence of invasive properties and tumor cell dissemination  
679 from the bulk tumor mass as observed in the negative control  
680 groups (Figure 8, D).

681 Taken together, this demonstrates the therapeutic efficacy of  
682 LP10Y/siPLK1 complexes in three different xenograft or  
683 xenopatient tumor models, covering subcutaneous as well as  
684 orthotopic localization.

## 685 Discussion

686 Linear PEIs are preferred over their branched counterparts for  
687 several reasons, including higher biocompatibility and more  
688 defined chemical compositions, and are already explored in  
689 clinical studies aiming at gene delivery. However, their capacity  
690 for complexation and thus delivery of small RNA molecules is  
691 poor. Strikingly, we show that this is entirely changed upon  
692 tyrosine engraftment of the polymer. This approach is particu-  
693 larly appealing since it relies on a relatively simple and  
694 straightforward chemical modification, avoiding very compli-  
695 cated chemistries as seen in the case of certain liposomes  
696 developed for therapeutic siRNA delivery (e.g., SNALPs). Thus,  
697 this offers a high potential for possible translation into the clinics.



Notably, our results demonstrate that the tyrosine-modification addresses, and positively affects, three important parameters in parallel: the complex stability, which is markedly enhanced and now sufficient for siRNA delivery, cellular uptake, which benefits from enhanced interaction of the polymer with the cell membrane, and intracellular siRNA release into the right compartment as another key process for siRNA activity. It is therefore by no means surprising that the tyrosine content is critical and requires some fine-tuning, with an ~30% degree of substitution found to be optimal. More specifically, while tyrosine-grafting leads to more stable complexes (which is beneficial and thus improves knockdown efficacy), higher degrees of tyrosine-modification may lead to complexes with non-optimal stability and/or less efficient uptake due to reduced surface charge. Thus, the optimal degree of tyrosine grafting seems to be crucial for balancing these different effects in a way that the optimal setting is achieved. This also highlights that, beyond the positive charges in the polymer required for (negatively charged) siRNA complexation, the presence of hydrophobic/aromatic components is very beneficial for complexation and improved physicochemical/biological complex properties. Thus, the positive effect of tyrosine grafting may rely on the contribution of others than electrostatic interactions, including  $\pi$ - $\pi$  interactions. While this has already been suggested from previous studies on branched PEIs,<sup>31,32,35</sup> we now show that this is even more important for small linear PEIs which, as stated above, are preferred over their branched counterparts. In fact, only upon tyrosine modification did the linear PEIs become available for siRNA delivery. This is particularly relevant with regard to very small PEIs, since PEI cytotoxicity is generally correlated with polymer chain length. The polymer chain length of the best performing LP10Y is about 50% of the *in vivo* jetPEI already used in clinical gene delivery studies, and it is tempting to speculate that, for example by increasing siRNA molecular weights by using siRNA concatemers,<sup>23</sup> even smaller LPxY may well show equal efficacy.

Another critical parameter is the cationic surface charge, which is associated with cytotoxicity and markedly reduced upon tyrosine modification. The variation of complexation conditions may be particularly relevant as well, especially when considering that we observed a very profound effect of buffer conditions on complex sizes. Comparably large complexes (i.e., several hundred nm in diameter) still showed very profound transfection efficacy *in vitro*. This could indicate that complex sizes may not be critical for cellular internalization and siRNA delivery at least in cell culture. However, it has also been observed in cell culture that complexes based on linear PEI form aggregates under salt conditions<sup>39</sup> and may show unspecific transfection efficiency, possibly contributing as well. Furthermore, the optimal size of complexes is discussed controversially. While larger PEI/pDNA complexes often showed improved transfection efficiencies *in vitro* compared to their smaller counterparts,<sup>40</sup> most *in vivo* studies rather use smaller nanoparticles as perhaps more suitable with regard to tissue penetration upon systemic administration.<sup>41</sup> However, one paper also demonstrated the advantage of larger complexes *in vivo* for intratumoral injections in s.c. xenografts or in abdominal tumor models.<sup>42</sup> Still, for *in vivo* use smaller complexes will be preferred due to better tissue penetration. Beyond the *in vivo* therapy studies, our results from the *ex vivo* tissue slice

experiments also indicate favorable tissue penetration since an overall ~50% target gene knockdown in the whole slice cannot be based just on biological activity on the outer cell layers. This notion is also supported by more recent findings in our group demonstrating tissue penetration of the complexes by immunohistochemistry (Karimov, Ewe and Aigner, unpublished). Notably, this is somewhat contradictory to other observations that suggest impaired tissue penetration already of single molecules, e.g., biologicals or even small molecule cytostatics (see e.g.<sup>43</sup>). In fact, this may indicate the need for a delicate balance between cell uptake efficacy, which has to be sufficiently high for biological effects and adequately low for allowing deeper tissue penetration without interference only with the first cell layers hit after extravasation into their target tissue.

This also implicates that it is insufficient to evaluate a given nanoparticle only in 2D cell culture. Rather, it is pivotal to demonstrate efficacy in appropriate *in vivo* models. In this regard, PDX models are particularly relevant since they recapitulate tumor tissue architecture even after several rounds of propagation in mice, and orthotopic tumor models are advantageous in recapitulating most precisely the original tumor environment. Both aspects have been addressed in this study. In a therapeutic context, the systemic administration is preferable and therapeutically more relevant over local application, despite possible delivery to non-target tissues/organs. Thus, systemic application was the focus in this study. While in the case of subcutaneous tumor xenografts i.p. injection was preferred, a 'real patient tumor' situation may rather require i.v. administration. One exception from systemic treatment, however, may be gliomas, where – despite its partial impairment due to the tumor – the blood–brain barrier still presents a major hurdle for drug delivery, including nanoparticles. While the targeted delivery of nanoparticles through the blood–brain barrier has been a matter of intense research over decades, efficient systems are still lacking (see e.g.<sup>44</sup> for review) and our complexes based on LP10Y may not solve this problem. It should be noted, however, that direct intratumoral application, e.g. by convection-enhanced delivery, is clearly feasible in a clinical setting for treating glioma patients.<sup>45</sup>

Upon injection, in particular into the blood stream, nanoparticles experience the adsorption of proteins, which leads to the formation of the so-called “soft” and “hard corona”, affecting nanoparticle properties (see e.g.<sup>46,47</sup> for review). As shown here, the presence of proteins did not impair complex efficacy and even protected complexes from aggregation. It is well feasible that complexes may benefit from this corona formation also upon systemic injection *in vivo*, and that altered chemical properties (here: tyrosine modification) will also determine alterations in the protein corona composition. Thus, beyond the positive effects of tyrosine modification on physicochemical complex properties described here, leading to enhanced biological efficacy, complexes may further benefit in the *in vivo* situation.

## Acknowledgments

This study was supported by grants from the Deutsche Forschungsgemeinschaft (DFG; AI 24/21-1; AI 24/24-1) and the



812 Deutsche Krebshilfe (70111616) to A.A., and the NAWA IAP  
 813 Program EUROPARTNER (M.B., M.I., A.A.). The authors are  
 814 grateful to Markus Böhlmann and Anne-Kathrin Krause (both  
 815 RBI) for expert mouse maintenance and assistance in the  
 816 experiments, and to Katrin Becker for expert help with tissue  
 817 slice experiments and immunohistochemistry.

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 826 the manuscript.

## 827 Appendix A. Supplementary data

828 Supplementary data to this article can be found online at  
 829 [Q22 https://doi.org/10.1016/j.nano.2021.102403](https://doi.org/10.1016/j.nano.2021.102403).

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Suppl. Figure 1 **(A)** NMR spectrum of a tyrosine-modified PEI with peak annotation. **(B)** Degrees of tyrosine-grafting obtained for the different linear PEIs with MW 2.5-25 kDa.

Suppl. Figure 2 **(A)** Heparin displacement assay for the assessment of complex stability in the presence of increasing concentrations of heparin and with (right) or without 50% FCS (left). Arrow: free siRNA. **(B)** Time-dependent microscopic analysis of the uptake of LP10Y-complexed, DY647-labeled siRNA in H441 cells. **(C)** Measurement of the concentration dependent interaction of LP10Y with extrinsic membrane probes, as determined by membrane fluidity analyses via fluorescence anisotropy with 1,6-diphenylhexatriene (DPH; left) or its trimethylammonium derivative (TMA-DPH; right).

Suppl. Figure 3 **(A)** Biological knockdown efficacies of complexes based on the various tyrosine-modified PEIs in H441-luc cells. Black and gray bars: complexes containing non-specific and luciferase-specific siRNAs, respectively, at two different siRNA amounts. **(B)** LP10Y/siRNA knockdown efficacies at different polymer/siRNA mass ratios.

Suppl. Figure 4 **(A)** Biological knockdown efficacies of complexes based on the various tyrosine-modified PEIs in different reporter cell lines. **(B)** Time-dependence of reporter gene knockdown.

Suppl. Figure 5 **(A)** Absence of apoptosis induction upon transfection of cells with complexes based on LP5Y or LP10Y, as determined by Caspase-3/-7 activity at different time points after transfection. **(B)** COMET assay for the determination of genotoxicity. Left: quantitation of tail moments indicating genotoxic events; right: representative pictures (two for each condition). Arrow: one positive event upon treatment with complexes based on linear 10 kDa PEI.

Suppl. Figure 6 **(A)** Dependence of biological knockdown efficacies on the duration of transfection. Black and gray bars: complexes containing non-specific and luciferase-specific siRNAs, respectively. **(B)** Knockdown efficacies in the presence of various inhibitors of cellular internalization at different concentrations. **(C)** Cell uptake of LP10Y-complexed, DY647-labeled siRNA in the presence of various inhibitors of cellular internalization, as determined by flow cytometry.

Suppl. Figure 7 Autoradiography results from a radioactive biodistribution experiment, 4 h after i.v. injection of complexes containing [<sup>32</sup>P]-labeled siRNA.

Suppl. Figure 8 Microscopic pictures of different organs from mice receiving repeated LP10Y/siRNA treatment (s.c. tumor xenograft experiment; see Figure 6) vs. untreated, hematoxylin or hematoxylin/eosin staining; bar: 200 pixels.

Q20 Suppl. Figure 9

Suppl. Table 1 siRNA used in this study.


Suppl. Table 2 Sequences of primers used in this study.

Suppl. Table 3 Antibodies used in this study.

Suppl. Table 4 <sup>1</sup>H-NMR (400 MHz, deuterium oxide) data.

Q21 Supplementary material

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